

### Merchant & Gould

An Intellectual Property Law Firm

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A Professional Corporation

Fax Transmission

October 5, 2004

TO:

Commissioner for Patents

Attn: Office of Initial Patent Examination

P.O. Box 1450

Alexandria, VA 22313-1450

FROM:

Katherine M. Kowalchyk

OUR REF:

11669.118USD1

TELEPHONE:

612.371.5311

Total pages, including cover letter: 13

PTO FAX NUMBER 1-703.746.4060

If you do NOT receive all of the pages, please telephone us at 612.371.5204, or fax us at 612.332.9081.

Title of Document Transmitted:

RESPONSE TO NOTICE TO COMPLY AND

CERTIFICATE REGARDING SEQUENCE LISTING, NOTICE TO COMPLY WITH REQUIREMENTS FOR

PATENT APPLICATIONS CONTAINING

NUCLEOTIDE SEQUENCE AND/OR AMINO ACID

SEQUENCE DISCLOSURES - PART 2.

COMMUNICATION PURSUANT TO 37 CFR § 1.821(e).

AND SEQUENCE LISTING

Applicant:

COCHRAN ET AL.

Serial No.:

10/823,006

Filed:

APRIL 12, 2004

Group Art Unit: 1646

Our Ref. No.:

11669.118USD1

Confirmation No. 5028

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Name: Katherine M. Kowalch

Reg. No.: 36,848

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Sheryl A. Boerboom

FROM-Merchant & Gould

Oct. 5, 2004

FROM-Merchant & Gould

OCT 0 5 2004

10/823,006

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

COCHRAN ET AL.

Examiner:

T. WESSENDORF

Serial No.:

10/823,006

Group Art Unit:

1646

Filed:

APRIL 12, 2004

Docket No .:

11669.118USD1

Confirmation No.:

5028

Customer No.:

23552

Title:

HAIRPIN PEPTIDES WITH A NOVEL STRUCTURAL MOTIF AND

METHODS RELATING THERETO

CHRTIFICATE UNDER 37 CFR 1.8(b);

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on October 5,

2004.

### RESPONSE TO NOTICE TO COMPLY AND CERTIFICATE REGARDING SEQUENCE LISTING

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed August 12, 2004. In response to the Notice to Comply and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicants enclose herewith a communication pursuant to 37 CFR § 1.821(e) to request to use a Sequence Listing submitted in a previously filed application.

In accordance with MPEP §2422.05, a paper copy of the Sequence Listing is included with this communication. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicants hereby state that the sequence electronically filed in application Serial No. 09/836,770 and the paper copy of the Sequence Listing enclosed do not include new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to Comply with the sequence listing rules. Accordingly, it is believed the application complies with the sequence listing requirements.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: October 5, 2004

Katherine M. Kowalchyk

Reg. No. 36,848

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10/823,006

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

COCHRAN ET AL.

Examiner:

T. WESSENDORF

Serial No .:

10/823,006

Group Art Unit:

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APRIL 12, 2004

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HAIRPIN PEPTIDES WITH A NOVEL STRUCTURAL MOTIF AND

METHODS RELATING THERETO

CERTIFICATE UNDER 37 CFR 1.8(b):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Pategt and Trademark Office on October 5,

2004.

## COMMUNICATION PURSUANT TO 37 CFR §1.821(e)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The paper copy of the Sequence Listing in this application Serial No. 10/823,006, is identical to the sequence listing filed electronically in application Serial No. 09/836,770, filed April 17, 2001. In accordance with 37 CFR 1.821(e), please use the last-filed form which was filed in that application electronically on December 9, 2003 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included herewith.

Respectfully submitted,

PATENT TRADEMARK OFFICE

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

Date: October 5, 2004

Kowalchyk Katherine M. Kowalchyk

Reg. No. 36,848



#### United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

6123329081

ATTORNEY DOCKET NUMBER

10/823,006

04/12/2004

Andrea G. Cochran

11669.118USD1

CONFIRMATION NO. 5028

23552 MERCHANT & GOULD PC P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903 **FORMALITIES LETTER** "OC000000013523438"

Date Mailed: 08/12/2004



#### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

 A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

11:16AM FROM-Merchant & Gould

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE